



# IMPERIAL, DE GUZMAN, ABALOS & CO., INC.

Member, The Philippine Stock Exchange, Inc.

Unit 1108 PSE Tower, 5th Ave., cor. 28th Street, Bonifacio Global City, Fort Bonifacio, Taguig City

Tel. Nos.: 7216-4119; 7213-3341; 7216-4508; 7217-3614; 7217-3763 Fax No.: 7213-3341

## CUSTOMER ACCOUNT INFORMATION FORM

### CLIENT NAME

Last Name

First Name

Middle Name

Residence Address

Date of Birth

Civil Status

Gender

Tax ID No.

Citizenship

Mobile No.

Telephone No.

Place of Birth

Email

Fax No.

Employment Status

☐ Employed ☐ Retired

☐ Self-Employed ☐ Unemployed ☐ Others

Occupation

Name of Employer/ Business

Business Address

Office Tel. No.

Nature of Business

Office Fax No.

### Please, check one:

Financial Capacity	Annual Income	Assets	Net Worth
Less than 1M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1M to less than 10M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10M to less than 50M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Over 50M	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

### Source(s) of Funds

☐ Salary ☐ Business ☐ Investments ☐ Retirement ☐ Others

### Account Type

☐ Cash ☐ Regular ☐ Margin ☐ Institutional ☐ Discretionary

### Investment Objectives

☐ Growth ☐ Long-term ☐ Speculation ☐ Capital Preservation

Bank Name

Account No.

Account Type

Bank Address

Are you an Officer or Director of a PSE listed company? ☐ Yes ☐ No

Company Name

If yes, state company name and your position

Position

Are you an officer or employee of another Broker/Dealer? ☐ Yes ☐ No

Company Name

If yes, state company name and your position

Position

If opened and maintained by a representative, state name and address of beneficial owner

Name of Owner

Address

For Discretionary Account, indicate name of person/s authorized to exercise discretion

Name and Signature

Date Granted

For Corporate Account, indicate name of personnel authorized to transact for the company

Name

Position

Name

Position

Do you require a copy of confirmation to be sent to another person? ☐ Yes ☐ No

Name

If yes, state the name of the person and the relationship:

Relationship

Confirmation of orders would be via:

☐ Courier

☐ Facsimile

☐ Electronically

Statement / Trade Confirmations / Other Correspondence Disposition:

☐ Mail

☐ Deliver

☐ Pick-up

☐ E-Mail

I/We confirm that the above information given in this Customer Account Information Form (CAIF) is true and correct to the best of my knowledge. I authorized Imperial, De Guzman, Abalos & Co., Inc. to verify information provided.

### ACCOUNT OPENING APPROVED:

Salesman and Officer's Signature

Customer's Signature

Date

Associated Person

### IMPORTANT REMINDERS:

1. In compliance with the existing SEC, PSE and AMLC regulations on customer identification, please fill up this form **completely**.
2. Submit photocopy of one (1) valid and current identification document issued by the Philippine Government. (Please present original for verification)

- at the back please-